



Practitioner's Docket No. 1540/139

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Prokai, Laszlo; Simpkins, James W.

Application No.: 09/893,324

Group No.: 1614

Filed: 06/27/2001

Examiner: Qazi, Sabiha N.

For: Alkyl Ether Modified Polycyclic Compounds Having a Terminal Phenol and Uses for Protection of Cells

Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is a small entity. A statement was already filed.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

[x] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: April 4, 2002

Signature

Keith J. Wood

(type or print name of person certifying)

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believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

#### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:


|  | (Col.1)                                   |       | (Col. 2)                              | (Col. 3)         | SMALL ENTITY |               |
|--|---|-------|---------------------------------------|------------------|--------------|---------------|
|  | Claims<br>Remaining<br>After<br>Amendment |       | Highest No.<br>Previously<br>Paid For | Present<br>Extra | Rate         | Addit.<br>Fee |
| Total  | 11  | Minus | 46                                    | = 0              | x \$9 =      | \$0           |
| Indep.   | 3   | Minus | 7                                     | = 0              | x \$42 =     | \$0           |
| First Presentation of Multiple Dependent Claim |   |       |                                       |                  | + \$140 =    | \$0           |
| Total  |   |       |                                       |                  | Addit. Fee   | \$0           |

No additional fee for claims is required.

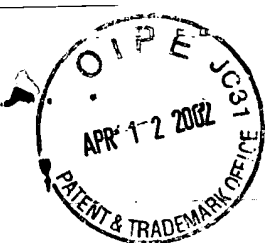
#### FEE DEFICIENCY

5. If any additional extension and/or fee is required, charge Account No. 19-4972.  
If any additional fee for claims is required, charge Account No. 19-4972.

Date: April 4, 2002

  
Keith J. Wood  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Prokai et al.  
Serial No.: 09/893,324  
Filed: June 27, 2001  
For: ALKYL ETHER MODIFIED  
POLYCYCLIC COMPOUNDS HAVING  
A TERMINAL PHENOL AND USES FOR  
THE PROTECTION OF CELLS

Att'y Docket: 1540/139  
Art Unit: 1616  
Examiner: Sabiha Naim Qazi  
Date: April 4, 2002

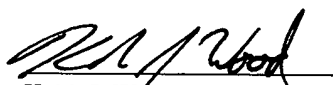
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CERTIFICATE OF MAILING

I hereby certify that this document, along with any other papers referred to as being attached or enclosed, is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on April 4, 2002.

  
Keith J. Wood

Commissioner for Patents  
Washington, DC 20231

ELECTION, IN RESPONSE TO RESTRICTION REQUIREMENT

Dear Sir:

In response to the restriction requirement set forth in the office action dated March 4, 2002, the applicants hereby elect Group I, which corresponds to claims 1-11, and elect the species of Group I solely for the purposes of the search, i.e. compounds and pharmaceutical formulations wherein the polycyclic compound is a four ring compound, containing an alkyl ether group at the 17-position of the D ring and an aromatic A ring, such as 1, 3, 5 (10) triene-3-ol-17-alkyl ether estradiol.

Applicants also hereby cancel claims 12-46.

Applicant believes that no extension of time is required; however, this conditional

petition is being made to provide for the possibility that the applicant has inadvertently overlooked the need for an extension of time. If any additional fees are required for the timely consideration of this application, please charge deposit account number 19-4972.

It is believed that the application is in condition for allowance. Consideration of the application and issuance of a notice of allowance are respectfully requested.

Dated: April 4, 2002

Respectfully submitted,

A handwritten signature in black ink, appearing to read "KJ Wood", written in a cursive style.

Keith J. Wood  
Registration No. 45,235  
Attorney for Applicant

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